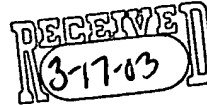


Official**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application:

March 17, 2003

C. Rettner et al.

Group Art Unit: 2652

Application No.: 10/090,589

Examiner: H. Nguyen

Filing Date: February 28, 2002

San Jose, California

Title: OPTICAL APERTURE FOR DATA RECORDING HAVING TRANSMISSION
ENHANCED BY WAVEGUIDE MODE RESONANCE

#3/a
max
3/21/03

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Please amend the specification in the above-referenced application as indicated
below.

Please amend the sentence on page 1, immediately following the title of the application, to read
as follows:

a/ This application is a continuation-in-part of and claims priority to Applicant's copending
application 10/026029, filed December 18, 2001 and entitled "Optical aperture for data recording
having transmission resonance enhanced by surface plasmon resonance".

ARC920010115US1

Appl. No. 10/090,589

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